

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akira GODA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR MANUFACTURING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were submitting in prior application Serial No. 10/145,122, filed May 15, 2002 or application Serial No. 09/556,777, filed April 25, 2000, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

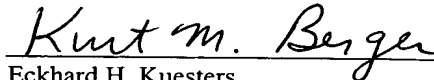
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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Registration No. 51,461

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 250143US-2S CONT		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Akira GODA, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,766,996	06/98	HAYAKAWA ET AL.			
	AB	6,483,172	11/02	COTE ET AL.			
	AC	5,925,908	07/99	DAHL ET AL.			
	AD	5,925,918	07/99	WU ET AL.			
	AE	5,838,041	11/98	SAKAGAMI ET AL.			
	AF	6,133,619	10/00	SAHOTA ET AL.			
	AG	5,990,524	11/99	EN ET AL.			
	AH	4,769,340	09/88	CHAN ET AL.			
	AI	2001/0002711	06/01	GONZALEZ			
	AJ	2002/0038137	11/01	AKRAM ET AL.			
	AK	2002/0031870	03/02	BRYANT ET AL.			
	AL	2002/0009837	01/02	IWAMATSU ET AL.			
	AM	2002/0003288	01/02	SAITOU ET AL.			
	AN	6,165,827	12/00	AHMAD ET AL.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,027,971	02/00	CHO ET AL.			
	AB	6,001,688	12/99	RIZZUTO, JUDITH QUAN			
	AC	5,641,696	06/24/97	NOBUYOSHI TAKEUCHI			
	AD	4,495,693	01/85	IWAHASHI ET AL.			
	AE	4,866,003	09/89	YOKOI ET AL.			
	AF	4,467,452	08/84	SAITO ET AL.			
	AG	5,659,191	08/97	ARIMA			
	AH	4,134,125	01/79	ADAMS ET AL.			
	AI	5,350,701	09/94	JAFFREZID-RENAULT ET AL.			
	AJ	4,665,426	05/87	ALLEN ET AL.			
	AK	5,262,985	11/93	WADA			
	AL	4,859,619	08/89	WU ET AL.			
	AM	5,153,144	10/92	KOMORI ET AL.			
	AN	5,766,996	06/98	HAYAKAWA ET AL.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	11-74388	03/16/99	JAPAN		XX	
	AP	6-77497	03/18/94	JAPAN		XX	
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

250143US-2S CONT

SERIAL NO.

New Application

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Akira GODA, et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,497,018	03/96	KAJITA			
	AB	5,731,130	03/98	TSENG			
	AC	5,716,883	02/98	TSENG			
	AD	5,710,075	01/98	TSENG			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
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	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

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